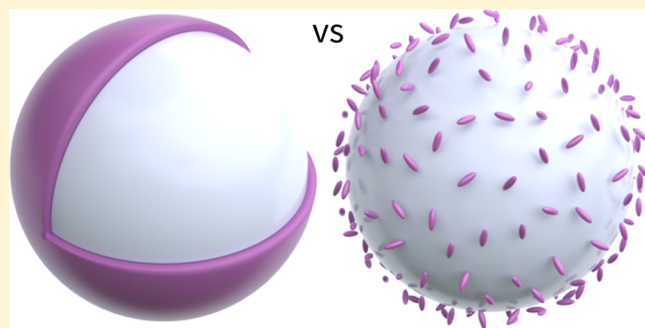


Optical Absorption of Dye Molecules in a Spherical Shell Geometry

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Supporting Information

ABSTRACT: Dipole–dipole interactions between neighboring dye molecules can cause substantial spectral changes in optical absorption, with a strong dependence on near-neighbors' relative distances and orientations. Such effects have been previously investigated in dimers, as well as planar arrangements of dipoles, but not to our knowledge in a three-dimensional spherical configuration. This work provides a comprehensive exploration of the effect of dipolar interactions in such a geometry, varying the dye concentration, orientations, and uniformity in coverage. We also contrast this coupled-dipole model to a simpler but often-used homogeneous effective-medium approximation, which ignores effects of orientation and nonuniformity. The results provide a first step toward the full description of light scattering in a complex anisotropic core–shell geometry, which is of strong relevance in surface-enhanced spectroscopy applications, as well as in the strong coupling between molecular emitters and optical nanoresonators.



INTRODUCTION

Nanostructures and nanoparticles can substantially affect the absorption of light by vicinal molecules, raising considerable interest for such diverse applications as solar cells,^{1–3} photochemistry,^{4–7} plasmon-assisted Förster resonance energy transfer,^{8,9} or surface-enhanced spectroscopies.¹⁰ The topic is also of fundamental interest in quantum optics-related pursuits, such as strong coupling^{11,12} or superradiance.¹³ Recent experiments have demonstrated how optical absorption by dye molecules can be substantially modified upon adsorption on metal colloids, with some molecules experiencing an enhancement, a quenching, or a spectral modification of their absorption spectrum.¹⁴ The variety of these effects likely has multiple physicochemical causes, some of which are explainable by classical electromagnetic theory. Comprehensive theoretical simulations are therefore required to disentangle the molecule-specific chemical interaction—in essence, changes to the intrinsic molecular response—from purely electromagnetic interactions due to the environment and the geometry of the light-scattering problem.

As a first step toward elucidating these electromagnetic effects, we apply a coupled-dipole model to describe the electromagnetic interaction between dye molecules arranged in a discrete spherical shell geometry. In experimental works, molecules are supported on a core nanoparticle (NP), and even within a purely electromagnetic framework, the optical response is affected by several factors: (i) dye–dye interactions, which depend on surface coverage and relative orientations, and (ii) dye–NP and NP-mediated dye–dye

interactions, which may also affect the response of the core NP as the shell of dyes affects the local refractive index.¹⁵ In this work, we focus on the first aspect and consider a hollow sphere of dyes, with homogeneous refractive index inside and outside (water). This model system allows us to disentangle the effects purely related to dye–dye interactions from those that are NP-related. While this constitutes a rather artificial model system, we note that an optically soft dielectric core such as a polymer or porous silica sphere¹⁶ could be used as physical support for the shell of dye molecules, with only minor electromagnetic influence from the core particle.

Even in this simplified configuration, we predict a rich variety of spectral changes that depend on the relative orientation and distribution of dipoles on the spherical shell. This work therefore provides an essential starting point in understanding the full optical response of the coupled core–shell system.

RESULTS

Limitations of the Effective-Medium Shell Model. We first consider an effective-medium homogeneous shell model, representing the simplest approximation of a layer of molecules covering a spherical surface. This is the most common approach for theoretical studies of molecular layers on metallic nanoparticles.^{17–24} We remark, however, that an empirical

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expression for the wavelength-dependent dielectric function ϵ of an effective-medium shell is generally assumed, which precludes qualitative concentration-dependent predictions. Following ref 14, we instead introduce explicitly the local-field effects arising from the solvent (water) and dye molecules to derive an effective concentration-dependent dielectric function for the shell from the microscopic dye polarizability using the Clausius–Mossotti (CM) formula as detailed in the Supporting Information.

For simplicity, the polarizability of the dyes is described by a single Lorentzian function, which helps distinguish the concentration-induced spectral changes. The parameters are chosen to reproduce, for illustration, the main absorption spectrum of Rhodamine 6G (eq S6). More realistic polarizability prescriptions should be used for quantitative comparisons with experiments on molecular resonances,²⁵ but the interaction between multiple spectral features (multiple peaks and shoulders) tends to complicate the interpretation of apparent spectral shifts. In a typical experiment, the relevant parameter is the dye surface coverage ρ (number of adsorbed molecules per unit surface area), which can be derived from the NP concentration, NP surface area, dye concentration in solution, and adsorption efficiency. The volumetric dye concentration c_d in the effective-medium shell is therefore derived for a spherical shell of thickness d as $c_d \approx \rho/d$, or to be more precise, for a sphere of inner radius R as

$$c_d = \frac{3\rho R^2}{(R + d)^3 - R^3} \quad (1)$$

The effective thickness d is a priori a free parameter and could in principle be used to tune the strength of the dye–dye interaction effects through c_d . In previous concentration-independent uses of the effective shell model, the predictions are independent of d for $d \ll R$. This is also the case in our model but only in the low-coverage limit. We here assume a typical value of $d = 1$ nm, in line with ref 14. For a given dye concentration, the Clausius–Mossotti formula (eq S5) yields the effective-medium dielectric function (Figure 1a), and the optical properties of the spherical shell can then be computed using standard Mie theory codes for multilayered spheres.^{10,26} The resulting absorption cross sections are presented in Figure 1b.

As described in ref 14, an increase in dye concentration induces a splitting of the absorption band into an intense red-shifted band and a weaker blue-shifted band. This prediction, based on a rigorous solution of the continuous electro-dynamics problem, does not reveal explicitly the microscopic origin of such a splitting, even though it is strongly reminiscent of dipole–dipole interactions observed in molecular dimers. The progressive red-shift of the peak in the imaginary part of the dielectric function is a result of dipole–dipole interactions embedded in the CM formula, but without reference to a specific orientation or geometry. The blue-shifted band coincides with a zero of the real value of the shell's dielectric function and is therefore reminiscent of an epsilon-near-zero resonance,²⁷ where all dipoles oscillate in phase. For both features the continuous-medium description of the optical response provides only an indirect link to their microscopic origin, namely, the electromagnetic interaction between dyes. In contrast, we present in Figure 2a the absorption spectrum of a dimer of dyes, with the two molecules described by isotropic

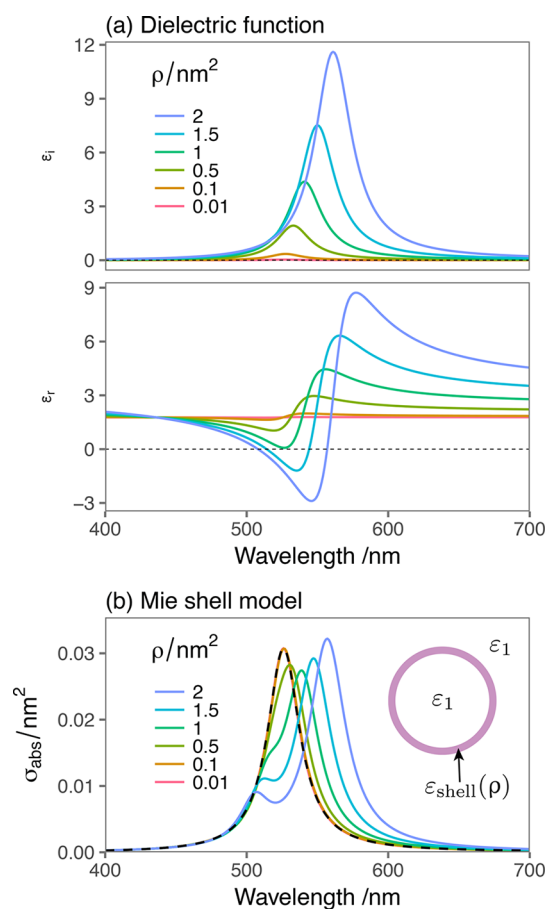


Figure 1. (a) Concentration-dependent dielectric function of a dye–water mixture for various dye concentrations (eq S5). The concentration c_d is related to the surface coverage ρ through eq 1, assuming a 1 nm thick shell. (b) Absorption spectra predicted by Mie theory for a homogeneous spherical shell of inner radius 14 nm and thickness 1 nm, with effective dielectric function as above. The absorption cross section is normalized by the number of molecules in the spherical shell. For small ρ , those results are independent of the choice of d (as long as $d \ll R$). The dashed line shows for reference the absorption spectrum of a single dye molecule in water, according to eqs S6 and S7.

polarizability tensors and their interaction explicitly described by a coupled-dipole model.^{28–30}

The dimer configuration has been studied extensively,³¹ as many dye molecules tend to dimerize at sufficiently high concentrations.³² The resulting dimers are known as J- or H-aggregates, depending on the relative orientation of the two interacting dipoles. With decreasing separation, the molecular resonances interact and hybridize; the spectral line shape exhibits a red-shift (Figure 2a, left panel) or a blue-shift (right panel) according to the respective orientation of the induced dipole moments (head-to-tail and side-by-side, respectively). The difference between these two configurations is purely geometrical; accordingly, a spherical shell excited by an incident plane wave will generally present a mixture of both types of dipole–dipole interaction, i.e. side-by-side where the incident field is normal to the spherical shell and head-to-tail where it is tangential.

Figures 1b and 2a highlight the necessity to extend the theoretical description of dye shells beyond that of a homogeneous effective-medium layer, to address explicitly

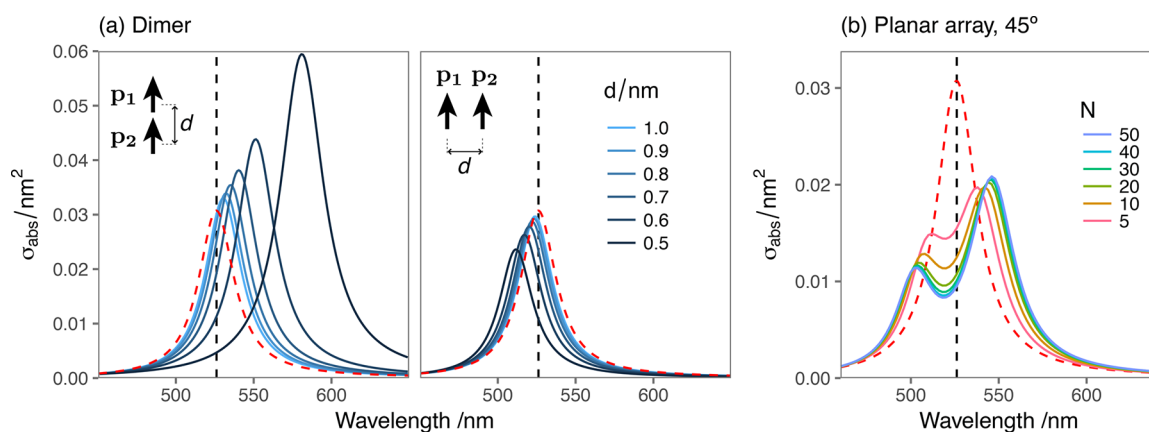


Figure 2. (a) Simulated absorption spectra for a dimer of dipoles with varying interdipole separation d . Light is incident normal to the dimer axis, with electric field parallel to the dipoles, which are placed “head-to-tail” (left) or “side-by-side” (right). (b) Absorption of a planar square array of $N \times N$ isotropic dipoles with constant surface density $\rho = 1.5 \text{ nm}^{-2}$, with an incidence angle of 45° and TM polarization. The dashed red line is the absorbance of a single isolated dipole, for reference. The dye polarizability is given in eq S6. All spectra are normalized by the number of dipoles.

the dipole–dipole interactions between multiple dye molecules arranged in arbitrary positions and orientations. We note that even though a dimer captures the essential physics of the spectral changes, an accurate prediction of spectral lineshapes as a function of surface coverage for nanoshells of subwavelength dimensions requires an explicit account of the exact position and orientation of all the dipoles in the simulation, allowed to interact via their self-consistent scattered field.³⁰ To illustrate this point, we present in Figure 2b the simulated absorption spectrum of a planar square array of $N \times N$ dye molecules with isotropic polarizabilities, with N ranging from 5 to 50, and a fixed surface density $\rho = 1.5 \text{ nm}^{-2}$. The light is incident at 45° with TM (p) polarization, therefore exciting a mixture of head-to-tail and side-by-side interactions between nearest neighbors. We note that although this particular choice of polarization and angle of incidence is arbitrary, it illustrates the general case of coupling between arbitrarily oriented neighboring dipoles, in contrast to the more restricted case of TE polarization, or normal incidence. It is remarkable that a large number of dipoles are interacting with each other, as witnessed by the slow convergence of the spectra (all normalized to the number of dipoles). This is especially relevant for the blue-shifted mode which originates from side-by-side coupling: the dipolar field along such directions (in-plane) is able to collectively couple dipoles over large distances, as it contains a mixture of near-field and far-field components.^{33,34}

The main purpose of this work is to clearly expose the microscopic origin of these spectral features observed in a spherical shell geometry, using a microscopic description of the dipole–dipole interactions between molecules, possibly with preferential orientations.

Shells of Point Dipoles. In what follows we consider N polarizable molecules organized as a spherical shell of radius R ; the response of each molecule is described by a polarizability tensor α to allow anisotropic responses. In the coupled dipole model, the position and orientation of each molecule can be varied independently; for clarity, we will focus on a few specific configurations that provide a representative overview of the coupling between molecules in realistic configurations. We also chose to average the absorption cross sections over all directions of incidence and polarization in the following simulations, as the discrete number of dipoles breaks the

spherical symmetry and small differences in optical response can arise for different directions of incidence.

Isotropic Response. We first compare the effective-medium and coupled-dipole models in Figure 3. Isotropic point dipoles were positioned regularly around a sphere according to a Fibonacci lattice,³⁵ providing near-homogeneous surface coverage (Figure 3b). In this configuration, we observe a close qualitative agreement with the predictions of the homogeneous shell model (Figure 3a). It should however be noted that the results are not exactly identical, except for the lowest concentrations. This is expected because the effective-medium model of eq S1 implicitly assumes a 3-dimensional averaging of neighboring dipoles homogeneously distributed in a bulk medium, while the coupled-dipole model explicitly considers the discrete set of pairwise distances between all dipoles in a finite spherical lattice. As noted in the previous section, the shell thickness d in the effective-medium model is required to provide a volume concentration for a known number of dipoles; this parameter was set arbitrarily to 1 nm, noting that a better match can be obtained at a given concentration by treating d as a free parameter. The effect of d on the predicted spectral shift in the effective-medium model is assessed against the coupled-dipole results in the Supporting Information. Regardless of the exact value of d chosen in the effective-medium model, in the limit of low concentrations, the results agree with the coupled-dipole model, and the absorption spectrum coincides with the spectrum of a dye in solution, scaled by the number of dipoles (see the Supporting Information for additional details). The coupled-dipole model thus provides a semiempirical guide to develop a more accurate effective-medium shell model, which is however beyond the scope of the present communication. We note that a rigorous description will necessarily lead to an anisotropic dielectric function, even for isotropic molecular polarizabilities, due to the anisotropic geometrical arrangement of dipoles.³⁶ We note that the treatment given in ref 37 only takes the assumption of isotropy in ϵ in order to simplify the formulas for this special case. No rigorous justification can be found for this choice, and indeed, in the general case one should expect an anisotropic dielectric function due to the structure factor’s asymmetry parallel or normal to the plane of dipoles.

The surface coverage of a spherical nanoparticle is likely to be inhomogeneous in many practical cases; molecules may

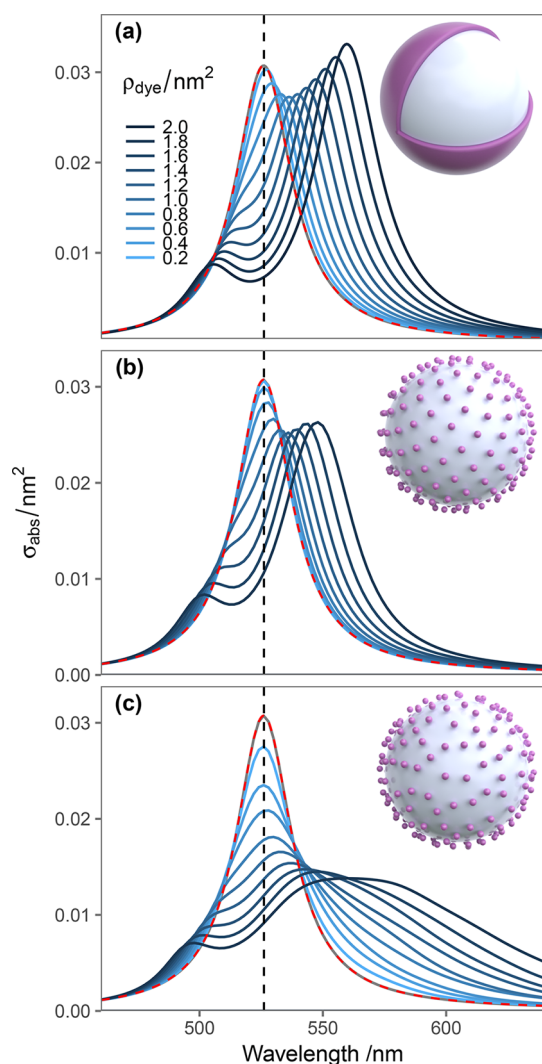


Figure 3. Predicted absorption spectra normalized by the number of dipoles for (a) a homogeneous shell described with Mie theory with $d = 1$ nm, (b) a homogeneous distribution of isotropic point dipoles, and (c) a random distribution of dipoles with a 0.5 nm minimal exclusion zone. In each panel the orientation-averaged single-molecule absorption spectrum is shown in dashed red curve for reference and coincides with the low-concentration limit $\rho_{\text{dye}} \rightarrow 0$ of both models.

adsorb at random positions on the surface, but they may also experience surface diffusion, repulsive or attractive forces, that will result in various degrees of inhomogeneity. To assess this effect on dipole–dipole interactions, we introduce in Figure 3c a more disordered coverage obtained by picking points at random on a sphere, with a minimal near-neighbor separation of 0.5 nm. This exclusion radius is necessary as two point dipoles arbitrarily close to each other would produce unphysical spectra (in reality, molecules cannot physically overlap; additionally, below a certain distance the electromagnetic interaction is no longer correctly described by a simple point dipole model). This comparison reveals that inhomogeneity in surface coverage yields qualitatively similar spectra, but with broader spectral features. Such spectral broadening is expected and follows from the range of near-neighbor interdipole separations introduced by disorder: the dipole–dipole interactions are convolved with a distribution of distances.

The results are presented for a specific shell radius of 15 nm, a size relevant to experiments on subwavelength nanoparticles. The radius of the shell impacts the relative position and orientation of neighboring dipoles, but comprehensive simulations showed that the effect is relatively minor in the range $10 \lesssim R \lesssim 50$ nm (further details are presented in the Supporting Information). The simulated spectra change rapidly below 10 nm in shell radius, as more and more dipoles are in such close proximity that they can interact beyond their nearest-neighbors, and the collective response approaches that of a spherical ball where, perhaps counterintuitively, the net effect of local-field corrections weakens because of symmetry.³⁸

Orientation Effects. The coupled-dipole model allows us to consider orientation effects, using polarizability tensors that describe uniaxial molecules with a preferential orientation with respect to the spherical surface. In a real experiment, molecules would adsorb onto a spherical core, where the molecule–surface orientation may be affected, and sometimes controlled, by various factors such as surface charge, pH, functional groups, or co-ions.³⁹ For instance, studies have shown that the dye Nile blue adsorbs preferentially flat on a gold surface.⁴⁰

We focus for simplicity on three specific cases of interest, varying the orientation of uniaxial tensors to represent idealized but experimentally relevant situations: an isotropic response, as in Figure 3; a uniaxial dipole with radial orientation; and a molecule with a tendency to align tangentially on the sphere, modeled as a uniaxial dipole with random orientation in the tangential plane. In all cases we enforce that the principal polarizability matrix elements be scaled to maintain an equal trace, for a meaningful comparison at constant total oscillator strength. Specifically, isotropic

tensors are of the form $\alpha = \begin{pmatrix} \alpha_d & 0 & 0 \\ 0 & \alpha_d & 0 \\ 0 & 0 & \alpha_d \end{pmatrix}$ and uniaxial tensors $\alpha = \begin{pmatrix} \alpha_d & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 3\alpha_d \end{pmatrix}$ in the local frame of the dye molecule, with α_d

the effective polarizability defined in the Supporting Information, such as only the directionality of the molecular response is varied with the anisotropy. These configurations are depicted schematically with ellipsoids oriented along the principal axis of α (insets of Figure 4).

The spherical geometry introduces a mixture of relative orientations between induced dipoles. With uniaxial-radial orientations (Figure 4b), the nearest neighbors are almost parallel to each other, resulting predominantly in side-by-side dipole–dipole interactions. In contrast, the in-plane uniaxial tensors corresponding to molecules adsorbed flat on a spherical core will see nearest-neighbor induced dipoles interacting predominantly head-to-tail (Figure 4c), as this near-field coupling is stronger by a factor of 2 for a given dipole separation. These interactions are reflected in the shifts of the spectra, which follow qualitatively those predicted for two interacting dipoles. However, the observed shifts are more pronounced in the spherical shell than from the pairwise interactions at a comparable dipole separation, and the predicted spectra are broader. This is most evident in the tangentially oriented configuration, where the random in-plane orientation of each dipole gives rise to a broad distribution of spectral shifts (mostly red-shifts), depending on the exact angle between any two dipoles. Furthermore, the uniaxial dipoles yield stronger dipole–dipole interactions, and therefore larger

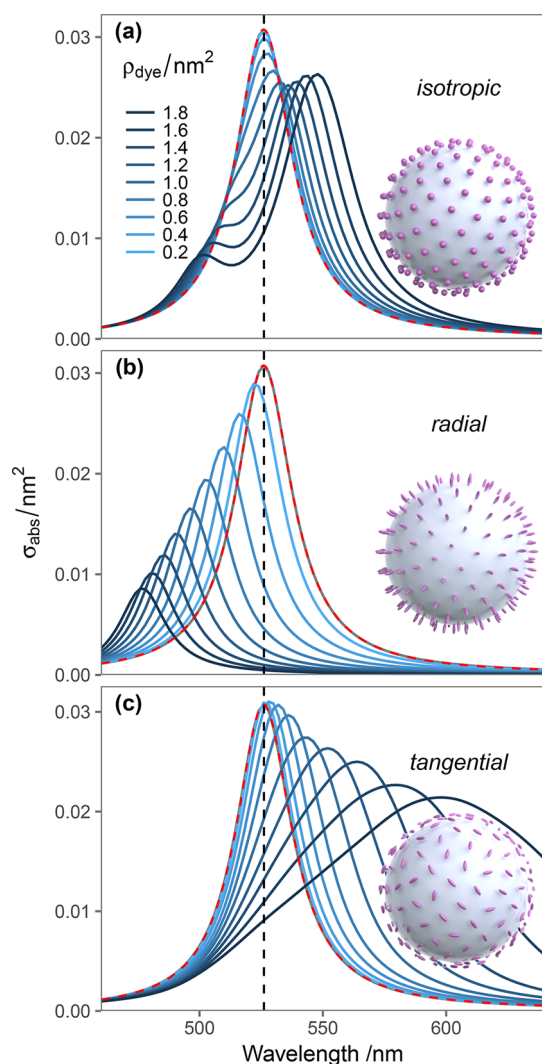


Figure 4. Orientation effects in dipole–dipole interactions between molecules. Concentration-dependent absorption spectra for a homogeneously covered shell of isotropic point dipoles described by (a) an isotropic tensor, (b) uniaxial polarizability tensors pointing radially, and (c) uniaxial tensors oriented randomly in the tangential plane (corresponding to a uniaxial molecule lying flat on the surface).

shifts, than isotropic dipoles because of our choice of normalization for the matrix elements.

CONCLUSIONS

The electromagnetic coupling between dye molecules arranged on a spherical shell of subwavelength dimensions displays a rich interaction between geometry and light polarization effects. Where a dimer can already present perturbations of a molecular absorption spectral line and lead to blue-shifts or red-shifts depending on the relative orientation of the induced dipole moments, the spherical geometries considered here sustain a nontrivial combination of both types of coupling. Through comprehensive numerical simulations, we highlighted the differences between a homogeneous, effective-medium description of a molecular shell and a more accurate description with discrete point dipoles. The importance of orientation effects and inhomogeneities in coverage could justify the development of a more accurate effective-medium theory for thin spherical shells, introducing anisotropy in the

shell response⁴¹ but also extending the Clausius–Mossotti formula to a spherical shell.^{37,42,43} The coupled-dipole model described here will provide a very valuable comparison for such theories. We also note that the orientation of molecular dipoles has critical implications for all surface-enhanced spectroscopies through surface-selection rules in surface-enhanced Raman spectroscopy⁴⁰ and also in the context of optical activity.⁴⁴

The natural extension of this work will consider the inclusion of a core particle sustaining the shell of dyes. The results described in the present work will provide a useful comparison to interpret the more complex spectral changes, as will be discussed elsewhere. The coupling between dipoles contains additional terms accounting for multipolar scattering by the core particle, which in the case of metal colloids may dramatically alter the near-field intensity and polarization. We note that additions to the model are not trivial to include, as the electromagnetic solution for a dipolar emitter close to a sphere⁴⁵ involves series that can be ill-suited for efficient numerical implementation.⁴⁶

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.8b05542.

Effective medium dielectric function for dyes in a solvent; model comparison in the low-concentration limit; brief summary of the coupled dipole equations; consistency of results with random orientations; shell radius dependence of the predicted spectra.(PDF)

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Author Contributions

B.A. and E.C.L.R. jointly conceived the study and wrote the computer code. B.A. performed all numerical simulations. Interpretation of the results and the writing of the manuscript was also a joint effort.

Notes

The authors declare no competing financial interest.

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